9 PATENT PATENT Docket No. 543822004500



CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Alexandria, Virginia on

October 12, 2004

Jamice Rosier/ct

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Bjorn FISCHER et al.

Serial No.: 10/777,207

Filing Date: February 13, 2004

For: SEMICONDUCTOR DEVICE AND

CORRESPONDING FABRICATION

**METHOD** 

Examiner: Not Yet Assigned

Group Art Unit: 2818

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97 & 1.98

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 CFR 1.97 and 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Copies of the documents are also submitted herewith. The Examiner is requested to make these documents of record.

This Information Disclosure Statement is being submitted before the mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.

Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 CFR 1.97 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the event that the transmittal letter is separated from this document and the Patent and Trademark Office determines that an extension and/or other relief is required, applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 03-1952 referencing 543822004500.

Dated: October 12, 2004

Respectfully submitted,

By: \_\_\_\_\_\_ Kevin R. Spivak Registration No.: 43,148 MORRISON & FOERSTER LLP 2000 Pennsylvania Avenue Washington, D.C. 20006 (202) 887-1525 – Telephone No. (202) 887-0763 – Facsimile No.

# Form PTO-1449

## INFORMATION DISCLESSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

OTHER DOCUMENTS

Docket Number: 449122069400

Application Number: 10/787,248

**Applicant** 

Andreas GUTE et al.

Filing Date: February 27, 2004

Group Art Unit: 2632

Mailing Date: October 12, 2004

## U.S. PATENT DOCUMENTS

| Examiner Initials | Ref.<br>No. | Date | Document No. | Name | Class | Subclass | Filing Date If Appropriate |
|-------------------|-------------|------|--------------|------|-------|----------|----------------------------|
|                   |             |      |              |      |       |          |                            |
|                   |             |      |              |      |       |          |                            |
|                   |             |      |              |      |       |          | . =                        |

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initials | Ref.<br>No. | Date    | Document No.  | Country | Class | Subclass | Transl<br>YES | ation<br>NO |
|----------------------|-------------|---------|---------------|---------|-------|----------|---------------|-------------|
|                      | 1.          | 1/25/01 | DE 199 34 880 | Germany |       |          |               | X           |
|                      |             |         |               |         |       |          |               |             |
|                      |             |         |               |         |       |          |               |             |

|          |     | OTHER DOCUMENTS (including author, title, Date, Pertinent Pages, Etc.)   |
|----------|-----|--|
| Examiner | Ref | Title  |
| Initials | No. |  |
|          | 2.  | European Standard, "Railway Applications - Door Systems for Rolling Stock," European Committee for Electrotechnical Standardization, March 2000, 7 pages |
|          |     |  |
|          |     |  |
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|          |     |  |
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|          | 7   |  |

**EXAMINER:** 

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.